



Burghclere Parish  
Council

## **Disciplinary Policy**

Adopted: 5<sup>th</sup> February 2024

Review due: February 2025

This procedure is designed to help and encourage all Burghclere Parish Council (“the council”) employees to achieve and maintain high standards of conduct whilst at work or representing the council. The aim is to ensure consistent and fair treatment for all. It is prepared in accordance with the dismissal and dispute resolution procedures as set out in the Employment Act 2008 and the ACAS Code of Practice APR 2009

### **PRINCIPLES**

- The Staffing Committee have delegated responsibility to deal with disciplinary matters and all stages of the defined penalties, with the exception of Stage 4.
- No disciplinary action will be taken against an employee until the case has been fully investigated
- At every stage in the procedure the employee will be advised of the nature of the complaint against them and will be given the opportunity to state their case before any decision is made.
- At all formal stages the employee will have the right to be accompanied by a trade union representative or work colleague during the disciplinary interview.
- No employee will be dismissed for a first breach of discipline except in the case of gross misconduct when the penalty of dismissal without notice or payment in lieu of notice may be applied.
- An employee will have the right to appeal against any disciplinary penalty imposed.
- The procedure may be implemented at any stage if the employee’s alleged misconduct warrants such action.

### **THE PROCEDURE FOR MISCONDUCT and GROSS MISCONDUCT**

The following list provides examples of misconduct which will normally give rise to formal disciplinary action:

- Unauthorised absence from work
- Persistent short-term and/or frequent absences from work without a medical reason
- Poor time keeping
- Minor breaches of Health and Safety or other Society rules or procedures
- Failure to perform your job to the standard expected the job description/objectives
- Misuse of the council’s equipment

- Refusal to carry out reasonable requests or instructions
- Failure to follow an agreed council procedure

This list is not exhaustive, and offences of a similar nature will result in disciplinary action being instigated.

The following list provides examples of offences which are normally regarded as gross misconduct:

- Theft, fraud, deliberate falsification of records, or other acts of dishonesty
- Fighting, assault on another person
- Deliberate damage to property of the council, its workers or members
- Gross incompetence in the conduct of work
- Being under the influence of illegal drugs or excessive alcohol whilst at work
- Acts of incitement towards or actual acts of discrimination, harassment or victimisation including on the grounds of sex, race, colour, ethnic origin, disability, sexual orientation, age, religion or belief
- Serious breach of the council's Health & Safety or Data Protection Policies
- Any action that is likely to or does bring the council into disrepute
- Serious negligence which causes or might causes significant loss, damage or injury

This list is not exhaustive and other offences of a similar gravity will result in disciplinary action being instigated at Gross Misconduct level which carries a potential penalty of dismissal. Gross Misconduct is generally any conduct which places extreme pressure on the mutual trust which exists in an employment relationship.

### **INFORMAL ACTION**

Minor misconduct will be dealt with informally usually in a confidential one-to-one meeting between the employee, at least one member of the staffing committee and the Clerk. In the case of the Clerk being the individual against whom there is a complaint or allegation the matter should be handled discreetly by members of the staffing committee and involve an informal meeting initially.

However, where the matter is more serious or informal action has not brought about the necessary improvement the following procedure will be used:

### **FORMAL ACTION**

The level of warning you may receive for misconduct/gross misconduct will depend on how serious the council considers the alleged actions to be and your previous conduct in all the circumstances. In the event of alleged gross misconduct the formal process may commence at Stage 4.

- a) **Disciplinary Letters:** If there is a concern about an employee's conduct or behaviour then a letter will be given to the employee advising them of the allegation(s) and reasons why this is unacceptable. The letter should invite the employee to attend a meeting at which the alleged misconduct will be discussed and will inform the employee of their right to be accompanied to the meeting. The letter will specify at which stage the disciplinary procedure is being invoked (see 4 stages below) and if invoked at Stage 4 for Gross Misconduct the letter will warn that a potential outcome could be dismissal. The time, date and venue of the meeting will also be advised. Any documents to be produced at the meeting will also be provided.

b) **Disciplinary Meetings:** The time and location of a disciplinary meeting should be agreed with the employee and it should be held in a private location with no interruptions. This will normally be without undue delay but allowing the employee to prepare their case e.g. within 5 days of the letter being sent, where practically possible. At the meeting the employee will be given an overview of the complaint, together with any evidence gathered. The employee will also be allowed to ask questions, present evidence and call witnesses if advance notice has been given that they will do so. If the employee is unable to attend the meeting due to unforeseeable reasons out of their control (e.g. illness) then the council will reasonably rearrange the meeting. However, if the employee fails to attend the meeting without good reason the meeting can be held in the employee's absence.

c) **OUTCOMES AND PENALTIES**

**Stage 1 - Oral Warning:** In the instance of a first complaint that conduct does not meet acceptable standards, the employee will normally be given a formal ORAL WARNING by the Chair of the Staffing Committee and in the presence of at least one other member of the committee. They will be advised of;

- the reason for the warning,
- that it is the first stage of the disciplinary procedure,
- the improvement that is required and the timescales for achieving this improvement,
- together with a review date and any support available (where applicable) and
- the employee's right of appeal.

A brief note of the oral warning will be kept but it will be spent after 6 months, subject to satisfactory conduct.

**Stage 2 - Written Warning:** If the offence is a serious one, or if further to previous formal disciplinary action, a WRITTEN WARNING will be given to the employee by the Staffing Committee. This will give details of the complaint, the improvement required and the timescale. It will warn that action under Stage 3 will be considered if there is no satisfactory improvement and will advise of the right of appeal. A copy of this written warning will be kept on file but it will be disregarded for disciplinary purposes after 12 months subject to satisfactory conduct.

**Stage 3 – Final Written Warning:** If there is still a failure to improve and conduct or performance is still unsatisfactory, or the misconduct is sufficiently serious, a FINAL WRITTEN WARNING will normally be given to the employee by the Staffing Committee. This will give details of the complaint, will warn that dismissal will result if there is no satisfactory improvement and will advise of the right of appeal. A copy of this final written warning will be kept but it will be spent after 12 months (in exceptional cases the period may be longer) subject to satisfactory conduct.

**Stage 4 – Dismissal or other sanctions:** If conduct is still unsatisfactory and the employee still fails to reach the prescribed standards, or where the council reasonably believes Gross Misconduct has occurred, DISMISSAL may result. Only the appropriately convened hearing

panel (see below) can take the decision to dismiss an employee. The employee will be given a written statement of allegations against them, invited to a meeting and then be notified in writing of the reasons for the decision taken at the hearing.

- Penalties at this stage may include:
- dismissal with notice or summary dismissal (i.e. without any notice),
- Final Written Warning
- loss of pay or loss of seniority.

If dismissal is the outcome, the employee will be advised of the date on which employment will terminate. In all cases the employee has a right of appeal. Very exceptionally, if an offence of Gross Misconduct is extremely serious an employee can be dismissed immediately without a meeting. In this situation a letter setting out reasons for dismissal would be sent to the employee offering the opportunity for an appeal hearing.

## **SUSPENSION**

If you are accused of an act of gross misconduct, you may be suspended from work on full pay while the council investigates the alleged offence. Only the appropriately convened staffing committee has the power to suspend. This enables a swift and thorough investigation to occur. Whilst suspended pending disciplinary investigation regular contact with a nominated person at the council will be maintained although access to premises, equipment or systems may be denied. The Investigator who compiles evidence for the disciplinary hearing must play no part in the subsequent decision-making to ensure impartiality. Councils need to consider the implications of such arrangements on its hearing and appeal panel plans early on in the disciplinary process.

## **APPEALS**

The Appeals stage can be exercised after any of the stages of disciplinary action for Misconduct/Poor Performance or Gross Misconduct. An employee who wishes to appeal against a disciplinary decision should inform the Council's Chair or Chair of the Staffing Committee within five working days, in writing and giving reasons for the appeal. An Appeal may be raised if:

- The employee thinks the finding or penalty is unfair
- New evidence has come to light
- The employee thinks that the procedure was not applied properly .

Where possible the Appeal will be heard by a separate panel of elected members who have not been involved in the original disciplinary hearing, who will view the evidence with impartiality.

The employee will have the right to be accompanied by a colleague or accredited Trade Union official or lay member at the appeal hearing. The outcome of the appeal and reasons for it will be advised to the employee as soon as possible after the meeting and be confirmed in writing.

At the Appeal hearing any disciplinary penalty imposed will be reviewed but it cannot be increased. The decision taken at the Appeal hearing will be final.

## **THE RIGHT TO BE ACCOMPANIED**

At each formal stage of disciplinary interview an employee has the right to be accompanied and can make a reasonable request for such a person to accompany them. An employee can ask any other employee or a trade union representative or an appropriately accredited official employed by a trade union to accompany them, to give support and help them prepare for the disciplinary interview. This right is enshrined in the 1999 Employment Relations Act. As this is an internal process there is no provision to have any external person accompany or represent an employee e.g. partner, parent, solicitor etc.

The companion can address the hearing, put and sum up the employee's case, respond on behalf of the worker to any views expressed at the meeting, confer with the employee. The companion cannot however answer questions on the employee's behalf or address the hearing if the employee does not wish them to or prevent the employee explaining their case.

### **HEARING PANELS**

A hearing panel will be formed of 3 individual councillors (from Burghclere or a neighbouring parish) who have not been implicated or undertaken any investigatory role in the dispute.

### **NOTE-TAKING**

A note-taker will be appointed to every meeting/hearing which arises because of a disciplinary process. Careful consideration will be given when making this appointment in order to respect employee confidentiality.

### **GRIEVANCES RAISED DURING DISCIPLINARIES**

In some circumstances when a disciplinary process has commenced an employee chooses to exercise their right to raise an internal grievance about the employment relationship with the council, individual Members or other employees. In such circumstances and in line with ACAS advice, the disciplinary matters are placed on hold until grievances have been aired and actions towards a resolution have been progressed.

### **CRIMINAL CHARGES OR CONVICTIONS**

If an employee is charged with or convicted of a criminal offence this does not automatically give rise to a disciplinary situation. Consideration needs to be given to how a charge or conviction may affect an employee's ability to undertake their job duties and their relationships with the council, its members, other employees or parishioners.